

Project	Nature of Risk	Mitigations	Timescales	Current Level of Risk	Comments
Pensions Dashboards	Integrated Service Provider not identified in time to meet deadlines	Procurement likely to be possible via National LGPS Framework Actively contributing to Joint Working Party set up by current system provider.	Ongoing	Low	ISPs looking to be ready to connect by April 2023, 12 months ahead of deadline.
	Integrated Service Provider not prepared in time to meet deadlines	Review wider market options in case system provider costs are prohibitive or progress not as expected	Ongoing	Low	
	Member Data not sufficiently cleansed for Dashboard	Data Improvement Plan already in place which addresses data components that will require to be reported to the Dashboard	June to August 2022	Low	
	Significant increase in member enquiries for partial matches once Dashboards launched	Current proposal is that members will be directed to use our own online portal if there is a partial data match which should reduce the contact with our Customer Centre	Ongoing	Low	
	Incorrect personal data reported back to individual through Dashboard	The Identity verification process remains the responsibility of the PDP so any GDPR issues will not be the fund responsibility	After Go Live in 2023/2024	Low	
	Data not transferred securely between SYPA and Ecosystem	Technical security specifications being agreed with funds	After Go Live in 2023/2024	Low	
	Uncertainty over AVC requirements as current values not held	Clarification sought from DWP through consultation response	Ongoing	Low	
McCloud	Enabling legislation not issued in sufficient time to update systems to deliver remedy	DLUHC has suggested there will be a 12 month lead in time once the enabling legislation is issued.	September 2022?	Low	Current Risk level as proposal just issued but engagement being undertaken.
	Systems Provider does not adequately develop accurate individual and processing solutions for ACTIVE members to accurately reflect assess underpin	Full solution included in initial proposal document received May 2022. Collaborative testing working party to be set up with cross fund representation.	Ongoing	Low/Medium	
	Systems Provider does not adequately develop accurate individual and processing solutions for FORMER members to accurately reflect assess underpin	Mitigations as above but this is a more significant risk as the initial proposal document issued in May 2022 does not provide a complete solution to avoid manual calculations for all past members. Engagement with system provider in collaboration with other LGPS funds to resolve shortfalls. Authority already approved appointment of three additional staff to prepare for McCloud work. Impact will be dependant on effectiveness of system solution.	June to August 2022	Medium/High	
	Internal resourcing insufficient to handle remedy cases		September to December 2022	Low/Medium	
	Insufficient working hours and breaks data provided by employers.	SYPA continued to collect details of working hours beyond 2014 when CARE scheme introduced.	June to December 2022	Low	
		Monthly data collection since 2018 means more accurate data collection than yearly collection exercises.		Low	
	Members not sufficiently informed about McCloud progress leading to complaints.	DLUHC requested to provide guidance to cover scenarios where data no longer available.		Low	
	Updates and information included routinely in Newsletters and Annual Benefit Statements; Members aware that McCloud judgement will have lower impact than with other public service schemes.		Low		